

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Nielsen, James T. et al.  
Assignee: Cardica, Inc.  
Title: Surgical Apparatus and Method for Anastomosis  
Serial No.: N/A Filing Date: December 31, 2003  
Examiner: Not yet assigned Group Art Unit: N/A  
Docket No.: 149

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**


Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. A copy of each item listed on the accompanying form PTO-1449 is enclosed for the convenience of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
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## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

**(Use several sheets if necessary)**

**ATTY. DOCKET NO.**

149

**SERIAL NO.**

N/A

**APPLICANT:**

NIELSEN, JAMES T. ET AL.

FILING DATE:

12/31/03

**GROUP:**

N/A

U.S. PATENT DOCUMENTS

[illegible]

### FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
		EP1354559A2	5/24/1995	EUROPE			

## OTHER DOCUMENTS

OTHER DOCUMENTS				
EXAMINER INITIAL		DOCUMENT TITLE	DATE	AUTHOR
		ATLAS OF SURGICAL STAPLING	1999	ETHICON ENDO-SURGERY

**EXAMINER:**

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant